

Via Facsimile Transmittal (703) 746-5285
Examiner Nashed
February 11, 2003

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul DeAngelis)	Group Art Unit: 1632
Serial No.: 09/842,484)	Examiner: Nashed
Filed: 04/25/2001)	Atty. Dkt. No.: 4605.003
For: CHONDROITIN SYNTHASE GENE AND METHODS OF MAKING AND USING SAME)	

U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window, Box Sequence
Crystal Plaza Two, Lobby, Room 1B03
Arlington, Virginia 22202

Official Nashed
enter 2/11/03
Nashed

PRELIMINARY AMENDMENT

Applicant herein seeks to amend the above-referenced application as shown below, prior to the first examination and action of the United States Patent and Trademark Office.

In the Claims:

Please cancel claims 1-36, 46-53, 56-58, 61-96 and 107-109, without prejudice. Please amend claim 42, as submitted herein below in clean, replacement form. Following the Remarks section is a redline version of the claim illustrating the amendments made thereto. In addition, please add new claims 111-130.

DUNLAP, CODDING & ROGERS, P.C.
ATTORNEYS AT LAW
9400 N. Broadway, Suite 420
Oklahoma City, Oklahoma 73114

Telephone
(405) 478-5344

Telefax
(405) 478-5349

F A C S I M I L E M E S S A G E

TO FACSIMILE NO.: (703) 746-5285

ATTENTION: Examiner Nashed
USPTO
Art Unit: 1632

FROM: Douglas J. Sorocco c/o Amber Stills

SUBJECT: U.S. Serial No. 09/842,484
CHONDROITIN SYNTHASE GENE AND METHODS OF EXPRESSING
AND USING SAME
Our Ref. No. 4605.003

DATE: February 11, 2003

PAGES: 12 including this transmittal page.

Call (405) 478-5344 if you should have any questions regarding this transmittal.

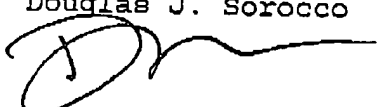
MESSAGE:

Examiner Nashed:

Attached hereto is a Preliminary Amendment (8 pages) to be entered in the above-referenced patent application. Also attached are the Transmittal Form (1 page), Fee Transmittal Form (1 page), and Fee Determination Record (1 page), with authorization to charge deposit account no. 04-1700 in the amount of \$432.00 for additional claims.

If you have any questions or need additional information please contact me at (405) 478-5344.

Douglas J. Sorocco


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for
PTO DEPOSIT ACCOUNT CHARGE
ACCOUNT # 04-1700

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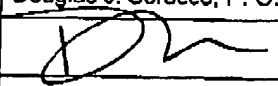
Transmittal Date: 02/11/2003


DUNLAP, CODDING & ROGERS, P.C.

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/842,484
	Filing Date	04/25/2001
	First Named Inventor	Paul DeAngelis
	Group Art Unit	1632
	Examiner Name	Nashed
	Attorney Docket Number	4605.003
Total Number of Pages in This Submission		12

ENCLOSURES <i>(check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <p style="text-align: center;">See remarks.</p>
Remarks 1. Fee Transmittal Form (1 page); 2. Transmittal Form (1 page); 3. Fee Transmittal Form (1 page); 4. Fee Determination Record (1 page); 5. Preliminary Amendment (6 pages).		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	DUNLAP, CODDING & ROGERS, P.C., Customer Number 30589 Douglas J. Sorocco, P. O. Box 16370, Oklahoma City, Oklahoma 73113
Signature	
Date	2.11.03

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail or U.S. Express mail no. Nashed (703) 748-8285 in an envelope addressed to the address below on this date:	
Typed or printed name <u>Douglas J. Sorocco, Reg. No. 43,145</u>	Date <u>02/11/2003</u>
Signature 	Date <u>2.11.03</u>

SEND TO: Box Fee Amendment
Commissioner for Patents
Washington, D.C. 20231

Fax to Exam. Nashed (703)748-5285
Transmittal Date: 02/11/2003

for
PTO DEPOSIT ACCOUNT CHARGE
ACCOUNT #04-1700

DUNLAP CODDING & ROGERS, P.C.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Approved for use through 10/31/2002. OMB 0851-0032

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 432

Complete if Known

Application Number 09/842,484
Filing Date 04/25/2001
First Named Inventor Paul DeAngelis
Examiner Name Nashed
Art Unit 1632
Attorney Docket No. 4605.003

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 04-1700
Deposit Account Name Dunlap, Coddling & Rogers, P.C.
Customer No. 30589

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 180	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
130	20	9	\$180
Independent Claims 46	6	42	\$252
Multiple Dependent			\$0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 432

*for number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1609 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1610 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

Name (Print/Type) Douglas J. Sorocco
Signature

Registration No. 43,145
(Attorney/Agent)

(Complete if applicable)

Telephone (405) 478-5344

Date 02/11/2003

Box Fee Amendment
Commissioner for Patents
SEND TO: Washington, D.C. 20231

Facsimile to Exam. Nashed (703) 746-5285
Transmittal date: 02/11/2003

Approved for use through 10/31/2002, PTO/SB/06 (08-00)
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PATENT APPLICATION FEE DETERMINATION RECORD					Application or Docket Number	
					09/842,484	4605.003
CLAIMS AS FILED - PART I						
(Column 1)		(Column 2)		SMALL ENTITY		OR OTHER THAN SMALL ENTITY
FOR	NUMBER FILED	NUMBER EXTRA		RATE	FEE	RATE FEE
BASIC FEE (37 CFR 1.16(a))					\$ 0	\$ 0
TOTAL CLAIMS (37 CFR 1.16(c))		minus 20 =	*	0		0
INDEPENDENT CLAIMS (37 CFR 1.16(b))		minus 3 =	*	0		0
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))				0		0
* If the difference in column 1 is less than zero, enter "0" in column 2				TOTAL	0	0
CLAIMS AS AMENDED - PART II						
(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY OR OTHER THAN SMALL ENTITY
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	RATE ADDITIONAL FEE
Total (37 CFR 1.16(c))	* 130	Minus	** 110	=	20	x \$ 9 = 180
Independent (37 CFR 1.16(b))	* 46	Minus	*** 40	=	6	x \$ 18 = 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))						x \$ 84 = 0
						+ 280 = 0
				TOTAL	432	TOTAL
				ADDITIONAL FEE		ADDITIONAL FEE
(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY OR OTHER THAN SMALL ENTITY
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	RATE ADDITIONAL FEE
Total (37 CFR 1.16(c))	*	Minus	**	=		x \$ 18 = 0
Independent (37 CFR 1.16(b))	*	Minus	***	=		x \$ 84 = 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))						+ 280 = 0
				TOTAL	0	TOTAL
				ADDITIONAL FEE		ADDITIONAL FEE
(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY OR OTHER THAN SMALL ENTITY
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	RATE ADDITIONAL FEE
Total (37 CFR 1.16(c))	*	Minus	**	=		x \$ 18 = 0
Independent (37 CFR 1.16(b))	*	Minus	***	=		x \$ 84 = 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))						+ 280 = 0
				TOTAL	0	TOTAL
				ADDITIONAL FEE		ADDITIONAL FEE

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
 ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".
 *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

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Washington, DC 20231